

## Brokerage Structure

Distributor : ARN-125172/Compass Finserve Llp

for the Investment Period : 01-Jul-2024 to 30-Sep-2024



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback			
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)	
INDEX	INDEX	KOTAK NIFTY SMALLCAP 50 INDEX FUND	01-Jul-2024 to 30-Sep-2024	Lump sum	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	NO				
				Systematic	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	0.6	NO			
INDEX		Kotak NIFTY 100 Low Volatility 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	0.55	NO			
				Systematic	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	0.55	NO			
INDEX		Kotak S&P BSE Housing Index Fund		Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO			
				Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO			
INDEX		Kotak Nifty 200 Momentum 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO			
				Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO			
INDEX		Kotak Nifty Financial Services Ex-Bank Index Fund		Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO			
				Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO			
INDEX		Kotak Nifty G-Sec July 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.22	0.22	0.22	0.22	0.22	0.22	NO			
				Systematic	1 to MAX	FIXED	0	0.22	0.22	0.22	0.22	0.22	0.22	NO			
INDEX		KOTAK NIFTY SDL JUL 2028 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	0.2	NO			
				Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	0.2	NO			
INDEX		Kotak Nifty SDL Jul 2026 Index Fund		Lump sum	1 to MAX	FIXED	0	0.17	0.17	0.17	0.17	0.17	0.17	NO			
				Systematic	1 to MAX	FIXED	0	0.17	0.17	0.17	0.17	0.17	0.17	NO			
INDEX		Kotak Nifty SDL Jul 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.17	0.17	0.17	0.17	0.17	0.17	NO			
				Systematic	1 to MAX	FIXED	0	0.17	0.17	0.17	0.17	0.17	0.17	NO			
INDEX		Kotak Nifty AAA Bond Jun 2025 HTM Index Fund		Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	0.15	NO			
				Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	0.15	NO			
EQUITY	Equity	Kotak Healthcare Fund	Lump sum	1 to MAX	FIXED	0	1.4	1.4	1.25	1.25	1.25	NO					
			Systematic	1 to MAX	FIXED	0	1.4	1.4	1.25	1.25	1.25	1.25	NO				
EQUITY		Kotak Consumption Fund	Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.3	1.3	1.3	NO					
			Systematic	1 to MAX	FIXED	0	1.35	1.35	1.3	1.3	1.3	1.3	NO				
EQUITY		Kotak Banking and Financial Services Fund	Lump sum	1 to MAX	FIXED	0	1.2	1.2	1.15	1.15	1.15	NO					
			Systematic	1 to MAX	FIXED	0	1.2	1.2	1.15	1.15	1.15	1.15	NO				
EQUITY		Kotak ESG Exclusionary Strategy Fund	Lump sum	1 to MAX	FIXED	0	1.2	1.2	1.15	1.15	1.15	NO					
			Systematic	1 to MAX	FIXED	0	1.2	1.2	1.15	1.15	1.15	1.15	NO				
EQUITY		Kotak Technology Fund	Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.1	1.1	1.1	NO					
			Systematic	1 to MAX	FIXED	0	1.15	1.15	1.1	1.1	1.1	1.1	NO				
EQUITY		Kotak Business Cycle Fund	Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO					
			Systematic	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	1.05	NO				

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Thld(D)- Threshold Period(In Days)

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Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback				
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)		
EQUITY	Equity	Kotak Focused Equity Fund	01-Jul-2024 to 30-Sep-2024	Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO					
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO					
Kotak Manufacture In India Fund		Lump sum		1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO						
		Systematic		1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO						
EQUITY		Kotak Pioneer Fund		Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO					
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO					
EQUITY		Kotak Special Opportunities Fund		Lump sum	05-Jul-2024 to 30-Sep-2024	Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO			
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.05	1.05	1.05	NO					
EQUITY	Large Cap Fund	Kotak Bluechip Fund	01-Jul-2024 to 30-Sep-2024	Lump sum	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO					
				Systematic	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO					
EQUITY	Sectoral or Thematic Fund	Kotak Infrastructure & Economic Reform Fund		Lump sum	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO					
				Systematic	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO					
EQUITY	Equity	Kotak Multi Asset Allocation Fund		Lump sum	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO					
				Systematic	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO					
EQUITY		Kotak Multicap Fund		Lump sum	1 to MAX	FIXED	0	1	1	0.85	0.85	0.85	NO					
				Systematic	1 to MAX	FIXED	0	1	1	0.85	0.85	0.85	NO					
EQUITY	Contra Fund	Kotak India EQ Contra Fund	Lump sum	1 to MAX	FIXED	0	0.95	0.95	0.9	0.9	0.9	NO						
			Systematic	1 to MAX	FIXED	0	0.95	0.95	0.9	0.9	0.9	NO						
EQUITY	ELSS	Kotak ELSS Tax Saver Fund	Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.85	0.85	0.85	NO						
			Systematic	1 to MAX	FIXED	0	0.9	0.9	0.85	0.85	0.85	NO						
EQUITY	Small Cap Fund	Kotak Small Cap Fund	Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.85	0.85	0.85	NO						
			Systematic	1 to MAX	FIXED	0	0.9	0.9	0.85	0.85	0.85	NO						
EQUITY	Equity	Kotak Quant Fund	Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.85	0.85	0.85	NO						
			Systematic	1 to MAX	FIXED	0	0.9	0.9	0.85	0.85	0.85	NO						
EQUITY	Equity Savings	Kotak Equity Savings Scheme	Lump sum	1 to MAX	FIXED	0	0.85	0.85	0.8	0.8	0.8	NO						
			Systematic	1 to MAX	FIXED	0	0.85	0.85	0.8	0.8	0.8	NO						
EQUITY	Large and Mid Cap Fund	Kotak Equity Opportunities Fund	Lump sum	1 to MAX	FIXED	0	0.85	0.85	0.8	0.8	0.8	NO						
			Systematic	1 to MAX	FIXED	0	0.85	0.85	0.8	0.8	0.8	NO						
EQUITY	Mid Cap Fund	Kotak Emerging Equity	Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.7	0.7	0.7	NO						
			Systematic	1 to MAX	FIXED	0	0.75	0.75	0.7	0.7	0.7	NO						
EQUITY	Equity	Kotak Flexicap Fund	Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.7	0.7	0.7	NO						
			Systematic	1 to MAX	FIXED	0	0.75	0.75	0.7	0.7	0.7	NO						

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							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)	
EQUITY	Arbitrage Fund	Kotak Arbitrage Fund	01-Jul-2024 to 30-Sep-2024	Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO				
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	0.4	NO			
EQUITY	Equity	Kotak Nifty Next 50 Index Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO				
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	0.4	NO			
EQUITY		Kotak Nifty 50 Index Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO			
HYBRID	Balanced Hybrid Fund	Kotak Equity Hybrid		Lump sum	1 to MAX	FIXED	0	1.05	1.05	1	1	1	1	NO			
				Systematic	1 to MAX	FIXED	0	1.05	1.05	1	1	1	1	NO			
HYBRID	Dynamic Asset Allocation fund	Kotak Balanced Advantage Fund		Lump sum	1 to MAX	FIXED	0	0.95	0.95	0.9	0.9	0.9	0.9	NO			
				Systematic	1 to MAX	FIXED	0	0.95	0.95	0.9	0.9	0.9	0.9	NO			
HYBRID	Conservative Hybrid Fund	Kotak Debt Hybrid		Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	0.9	NO			
				Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	0.9	NO			
DEBT	Gilt Fund	Kotak Gilt Investments Fund		Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	1	NO			
				Systematic	1 to MAX	FIXED	0	1	1	1	1	1	1	NO			
DEBT	Credit Risk Fund	Kotak Credit Risk Fund		Lump sum	1 to MAX	FIXED	0	0.85	0.85	0.85	0.85	0.85	0.85	NO			
				Systematic	1 to MAX	FIXED	0	0.85	0.85	0.85	0.85	0.85	0.85	NO			
DEBT	Medium to Long Term Duration Fund	Kotak Bond Fund		Lump sum	1 to MAX	FIXED	0	0.8	0.8	0.8	0.8	0.8	0.8	NO			
				Systematic	1 to MAX	FIXED	0	0.8	0.8	0.8	0.8	0.8	0.8	NO			
DEBT	Low duration Fund	Kotak Low Duration Fund		Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	0.75	NO			
				Systematic	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	0.75	NO			
DEBT	Short Duration Fund	Kotak Bond Short Term Fund		Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	0.7	NO			
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	0.7	NO			
DEBT	Dynamic Bond	Kotak Dynamic Bond Fund		Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	0.7	NO			
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	0.7	NO			
DEBT	Medium Duration Fund	Kotak Medium Term Fund	Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	0.7	NO				
			Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	0.7	NO				
DEBT	Ultra short Duration Fund	Kotak Savings Fund	Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	0.35	NO				
			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	0.35	NO				
DEBT	Banking and PSU Fund	Kotak Banking and PSU Debt Fund	Lump sum	1 to MAX	FIXED	0	0.3	0.3	0.3	0.3	0.3	0.3	NO				
			Systematic	1 to MAX	FIXED	0	0.3	0.3	0.3	0.3	0.3	0.3	NO				
DEBT	Debt	Kotak Floating Rate Fund	Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO				
			Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO				

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							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)	
DEBT	Corporate Bond Fund	Kotak Corporate Bond Fund	01-Jul-2024 to 30-Sep-2024	Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO				
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO			
DEBT		Kotak Long Duration Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO				
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	0.25	NO			
DEBT	Debt	KOTAK NIFTY SDL PLUS AAA PSU BOND JUL 2028 60:40		Lump sum	1 to MAX	FIXED	0	0.16	0.16	0.16	0.16	0.16	NO				
				Systematic	1 to MAX	FIXED	0	0.16	0.16	0.16	0.16	0.16	0.16	NO			
DEBT				Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index	Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO			
					Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	0.1	NO		
DEBT				Kotak Nifty SDL Apr 2032 Top 12 Equal Weight Index	Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO			
					Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	0.1	NO		
DEBT		Money Market scheme		Kotak Money Market Scheme	Lump sum	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
					Systematic	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	0.03	NO		
DEBT		Liquid Fund		Kotak Liquid Fund	Lump sum	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
					Systematic	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	0.03	NO		
DEBT		Debt		Kotak All Weather Debt FOF	Lump sum	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
					Systematic	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	0.03	NO		
DEBT	Overnight Fund	Kotak Overnight Fund		Lump sum	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO				
				Systematic	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	0.03	NO			
FOF	FOF	Kotak Global Innovation Fund of Fund		Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO				
				Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	0.9	NO			
FOF	Fund of Fund	Kotak Global Emerging Fund		Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	NO				
				Systematic	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	0.75	NO			
FOF	FOF	Kotak International REIT FOF		Lump sum	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	NO				
				Systematic	1 to MAX	FIXED	0	0.75	0.75	0.75	0.75	0.75	0.75	NO			
FOF	Fund of Fund	Kotak Multi Asset Allocator Fund of Fund - Dynamic	Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	NO					
			Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO				
FOF	FOF	Kotak Silver ETF Fund of Fund	Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO					
			Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	0.4	NO				
FOF	Fund of Fund	Kotak Gold Fund	Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO					
			Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	0.35	NO				
FOF	FOF	Kotak NASDAQ 100 Fund of Fund	Lump sum	1 to MAX	FIXED	0	0.3	0.3	0.3	0.3	0.3	NO					
			Systematic	1 to MAX	FIXED	0	0.3	0.3	0.3	0.3	0.3	0.3	NO				

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**TERMS AND CONDITIONS:**

**I. GENERAL**

i. Brokerage Structures are subject to the terms of empanelment and applicable laws and regulations, including SEBI (Mutual Fund) Regulations, AMFI Regulations, laws relating to GST, Income Tax, etc.

ii. All Trail Brokerages will be calculated for every calendar month and will be paid out in the following month.

iii. This brokerage structure is applicable till further notice and is subject to changes at the discretion of AMC/ Trustee.

iv. In case of any regulatory changes with respect to expense ratio, the past / present brokerage structure may be reviewed by the AMC.

v. The load structures may change at the discretion of AMC / Trustee. Please refer to the latest applicable load structure in the Offer Document(s) / Addenda to the Offer Document(s).

vi. Switches will be eligible for brokerage trail as per the distributor's applicable brokerage in the scheme but subject to applicable clawback of the switch out scheme. Option changes within the same scheme are not treated as Intra switches

vii. T-30 refers to the Top 30 cities provided by AMFI & B30 cities, refers to all the cities beyond the Top 30 Cities. Any Investments from cities / Pincodes categories provided by AMFI will be eligible for brokerage payment as per T30 brokerage structure. In addition to this, NRI investments will be considered as T30 investments for the purpose of brokerage payment.

viii. SIP / STP registered WEF April 01, 2019 onwards will be on Trigger basis and not on registration basis.

ix. In the event of the clawback brokerage being higher than that month's brokerage payable, the broker shall refund the same.

x. The AMC reserves the right to suspend the brokerage payable, if brought to our notice that higher brokerage is offered to sub-brokers or you have violated the code of conduct and/or rules/regulations laid down by SEBI and AMFI.

xi. Any Events / Training / Activities / Seminar etc. planned with your employees / clients / sub brokers etc. shall be conducted with the written consent of Regional Heads / Head of Sales prior to such activities

xii. All communication with regards to rates changes/modifications would be formally informed to you through [kotakadvisorh@kotak.com](mailto:kotakadvisorh@kotak.com) or [donotreply@camsonline.com](mailto:donotreply@camsonline.com) e- mail id only. Any other mode of communication via verbal or email from any individual representative should not be considered bonafide. Brokerage changes will be incorporated after consent from Regional Heads, Head of Sales

xiii. As per SEBI's letter no. SEBI/H0/IMD/IMD-SEC-3/P/OW/2023/5823/1 dated February 24, 2023 to AMFI, WEF March 01, 2023 B30 Structure is put in abeyance. However the payout of B30 incentives on the inflows from B30 cities received till February 28, 2023 will continue.